

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No.	LIGHT1960	C/M # 2101501-165382	
First Inventor or Application Identifier:	WENHUA LIN		
Title:	TUNABLE DISPERSION COMPENSATOR		
Express Mail Label No.:	EL617045722US		
<b>Application Elements</b> (See MPEP chapter 600 concerning utility patent application contents)		ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231	
<p>1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, &amp; duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>56</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>• Descriptive title of the Invention</li><li>• Cross References to Related Applications</li><li>• Statement Regarding Fed sponsored R&amp;D</li><li>• Background of the Invention</li><li>• Brief Summary of the Invention</li><li>• Brief Description of the Drawings (if filed)</li><li>• Detailed Description</li><li>• Claim(s)</li><li>• Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>32</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment (____ pgs.)</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Express Mail Certification</p> <p>17. <input type="checkbox"/> OTHER: Check # _____ (\$ _____)</p>	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part of prior application no.: _____ Prior application information: Examiner: _____ Group/Art Unit: _____			
<b>18. CORRESPONDENCE ADDRESS</b>			
<input type="checkbox"/> Customer Number (25548) Or Bar Code Label OR <input type="checkbox"/> Correspondence Address Below			
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PATENT ATTORNEY DOCKET NO.: LIGHT1960

The Patent and Trademark Office date stamp sets forth the receipt date of:

Applicant: WENHUA LIN

Application No.: Unknown

Filed: Herewith

Title: TUNABLE DISPERSION COMPENSATOR

Art Unit: Unknown

Examiner: Unknown

Batch No.: N/A

☒ Utility Patent Application Transmittal ☐ Petition for Month Extension of Time (pg.)  
☒ Specification (56 pages total)  
☒ Declaration for Patent Application (Unsigned)  
☐ Check: ☒ Certificate of Express Mail - Label No. EL617045722US  
☒ Drawings: 32 Sheets ☐ Certificate of Correction (pg.)  
☐ Preliminary Amendment (pgs) ☐ Notice of Appeal (pgs.)  
☒ Small Entity ☐ Appeal Brief (pg; 3 copies)  
☐ Copies of Demand and Notification Concerning Submission  
☐ Assignment/Recordal Cover Sheet (pg.)  
☐ Copy of Notice to File Missing Parts  
☐ Information Disclosure Statement (pg.)  
☐ Form PTO-1449 (1 pg.)  
☐ Number of Prior Art References:  
☐ Declaration (pg.) ☐ Disk  
Other: Return receipt postcard Date Mailed: 1 June 2001

Atty/Sec Initials: TAM/meg Client: LIGHTCROSS C/M 2101501-165382 Date Due: N/A

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Mikhail Bayley

NAME

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